



TO: Audit & Governance Committee

FROM: Head of Audit & Assurance

DATE: 9 January 2018

PORTFOLIOS AFFECTED: All

WARDS AFFECTED: All

TITLE OF REPORT: Risk Management – 2017/18 Quarter 2 Review

1. PURPOSE

To provide the Committee with details of the risk management activity that has taken place in the period from 1 July 2017 to 30 September 2017.

2. RECOMMENDATIONS

The Committee is asked to:

- Discuss, review and challenge the progress made on the Corporate Risk Register as at the end of Quarter 2 2017/18;
- Note the risk management activity that has occurred during the period; and
- Select a Corporate Risk to undertake a review of its assessment, control and monitoring at its next meeting.

3. BACKGROUND

The Council recognises that risk management is not simply a compliance issue, but rather it is a process to help ensure the successful delivery of the corporate objectives. Effective risk management arrangements should be inherent in the Council's culture and decision making processes as well as the operational and financial management arrangements operating within the Council. Risk management helps to demonstrate openness, integrity and accountability in all of the Council's activities.

4. RATIONALE

The Audit & Governance Committee terms of reference require it to review progress on risk management at least annually and to promote risk management throughout the Council. The Corporate Risk Management Strategy & Framework requires that the Audit & Governance Committee will receive regular reports setting out progress against corporate risk management action plans. This report meets both of these requirements.

5. KEY ISSUES AND RISKS

The Corporate Risk Register currently contains a total of 15 open risks, the same as the previous update report to this Committee on 19 September 2017. A summary of the risks is attached at Appendix 1 of this report. The report

includes movement in the residual risk scores between quarters to enable changes to be identified. There has been no significant movement in the residual risk scores from the previous quarter.

The top corporate risk remains the same as the previous quarter, Corporate Risk 14, a high profile serious/critical safeguarding incident occurring relating to an individual known to the Council.

We have continued to utilise the risk management support available from Zurich Municipal as part of the current long term insurance agreement. A Zurich colleague provided a briefing to the October Joint Management & Heads of Service Board meeting to raise awareness of key information risks and accountabilities defined in the BwD Council Information Governance Framework.

The Zurich support has also been used for a review of the current arrangements used by the Council to inspect open land and manage contaminated land/brownfield sites. This was carried out with Public Protection colleagues via a site visit in conjunction with the Environmental Protection Team Manager. An inspection regime training session was then held for the team using findings from the site visit. Accident investigation training was also provided to Leisure and Environment managers and a briefing and training session was delivered to Parks staff to raise awareness of identifying and reporting defects and the role that this plays in loss prevention and claims defence.

The Road Risk Management Group has met regularly to consider the risk management arrangements in place for the Council's motor fleet and drivers and staff use of private vehicles for Council business. It receives and reviews management reports to consider any trends in fleet driving behaviour and insurance claims to identify training needs.

6. POLICY IMPLICATIONS

There are no policy implications arising from this report.

7. FINANCIAL IMPLICATIONS

There are no financial implications arising from this report.

8. LEGAL IMPLICATIONS

There are no legal implications arising from this report.

9. RESOURCE IMPLICATIONS

There are no direct resource implications arising from this report.

10. EQUALITY AND HEALTH IMPLICATION

There are no equality implications arising from this report.

11. CONSULTATIONS

The Corporate Risk Register has been reviewed and updated by the Corporate Risk & Resilience Forum and agreed by Management Board.

Contact Officer: Colin Ferguson, Head of Audit & Assurance – Ext: 5326

Date: 21 December 2017

Background Papers: Annual Risk Management Report (including 2016/17
Quarter 4 Review)
Corporate Risk Management Strategy 2015/2020